



Attorney Docket No. 1941.1001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Toshiaki NAGASAWA et al.

Application No. 10/576,802

Filed: April 21, 2006

For: DISPERSION COMPOSITION AND RECORDING MATERIAL

Group Art Unit: Unassigned

Examiner: Unassigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure provisions of 37 CFR § 1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application.

1. Enclosures accompanying this Information Disclosure Statement are:

- 1a. ☒ Form PTO-1449.
- 1b. ☒ Copy(ies) of IDS citation(s), except for U.S. Patents and U.S. Patent Application publications.
- 1c. ☐ English language copy of a communication(s) from a foreign Patent Office or a PCT International Search Report.
- 1d. ☒ English language translation (complete, Abstract or relevant portion(s)) attached to non-English language publications as indicated on the attached Form PTO-1449.
- 1e. ☐ Explanations of Relevancy of References (ATTACHMENT 1(e), hereto) for providing a concise explanation of non-English publications.
- 1f. ☐ List of Copending Applications (ATTACHMENT 1(f), hereto).
- 1g. ☐ List of Additional Submitted Documents (ATTACHMENT 1(g), hereto).

2. ☒ This Information Disclosure Statement is filed under 37 CFR § 1.97(b):

(Check either Item 2a or 2b or 2c or 2d)

- 2a. ☒ Within three months of the filing date of a national application;
- 2b. ☐ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
- 2c. ☐ Before the mailing of a first Office Action on the merits; or
- 2d. ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114.

Do we have
any a postcard or
something showing
this serial No.
Note that most of this
105 has No serial #
The New App transmittal says that
we filed a search report w/ the
app. However, I can't find
any search report
in the file.

2

Serial No.:

3. ☐ This Information Disclosure Statement is filed under 37 CFR § 1.97(c) after the period specified in paragraph 2 above but before the mailing date of any of a Final Office Action under § 1.113, a Notice of Allowance under § 1.311 or an action that otherwise closes prosecution in the application, AND

(Check either Item 3a or 3b; Item 3b to be checked if any reference known for more than 3 months)

- 3a. ☐ The § 1.97(e) Statement in Item 5 below is applicable; OR

- 3b. ☐ The \$180.00 fee set forth in 37 CFR § 1.17(p) is:

☐ enclosed.

☐ to be charged to Deposit Account No. 19-3935.

4. ☐ This Information Disclosure Statement is filed under 37 CFR § 1.97(d) after the period specified in paragraph 3 above, but on or before payment of the Issue Fee, AND

- 4a. ☐ The § 1.97(e) Statement in Item 5 below is applicable; AND

- 4b. ☐ The \$180.00 fee set forth in 37 CFR § 1.17(p) is:

☐ enclosed.

☐ to be charged to Deposit Account No. 19-3935.

5. ☐ Statement under § 1.97(e) *(applicable if Item 3a or Item 4a is checked)*

(Check either Item 5a or 5b)

- 5a. ☐ In accordance with 37 CFR § 1.97(e)(1), it is stated that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

- 5b. ☐ In accordance with 37 CFR § 1.97(e)(2), it is stated that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known by any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

6. ☐ This is a continuation/divisional/continuation-in-part application under 37 CFR § 1.53(b).

(Check appropriate Items 6a and/or 6b)

- 6a. ☐ Copies of the publications listed on the attached Form PTO-1449 which were previously cited in prior application Serial No. ___, filed on ___, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, have been omitted pursuant to 37 CFR § 1.98(d).

- 6b. ☐ Copies of the publications listed on the attached Form PTO-1449 which were not previously cited in prior application Serial No. ___, filed on ___, and which is relied on for an earlier effective filing date for the subject application under 35 U.S.C. § 120, are provided herewith.

7. ☐ This is a Request for Continued Examination under 37 CFR § 1.114.

(Check either Item 7a or 7b)

7a. ☐ The Issue Fee has not been paid.

7b. ☐ A Petition to Withdraw from issue under 37 CFR § 1.313(c) is filed concurrently herewith or has been granted. A Request for Continued Examination under 37 CFR § 1.114, after payment of the Issue Fee, is proper in accordance with 37 CFR § 1.114(a), respectively.

8. ☐ This is a Supplemental Information Disclosure Statement.

(Check either Item 8a or 8b)

8a. ☐ This Supplemental Information Disclosure Statement under 37 CFR § 1.97(f) supplements the Information Disclosure Statement filed on ___. A bona fide attempt was made to comply with 37 CFR § 1.98, but inadvertent omissions were made. These omissions have been corrected herein. Accordingly, additional time is requested so that this Supplemental IDS can be considered as if properly filed on ___.

8b. ☐ This Supplemental Information Disclosure Statement is timely filed within one (1) month of the Notice under 37 CFR §§ 1.97 and 1.98, mailed ___.

9. ☐ In accordance with 37 CFR § 1.98, a concise explanation of what is presently understood to be the relevance of each non-English language publication is:

(Check appropriate Items 9a, 9b, 9c and/or 9d)

9a. ☐ satisfied for the non-English language publication(s) cited on the enclosed "English language version of the search report or action which indicates the degree of relevance found by the foreign office". (See MPEP § 609, Minimum Requirements for an Information Disclosure Statement, Part A(3): Concise Explanation of Relevance, 8th Ed., Rev. 2)

9b. ☐ set forth in the application.

9c. ☐ satisfied for the non-English language publication(s) indicated on the attached Form PTO-1449 as having an English language translation (complete or relevant portion(s)) attached thereto.

9d. ☐ enclosed as Attachment 1(e), hereto.

10. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith). 37 CFR §§ 1.97(g) and (h).

Serial No.:

11. The Commissioner is authorized to credit any overpayment or charge any additional fee required under 37 CFR § 1.17 for this Information Disclosure Statement to Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

Dated: ^{MJA} June 7 2006
1201 New York Ave., N.W., 7th Floor
Washington, D.C. 20005
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By: M. J. Henry
Mark J. Henry
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Sheet 1 of 1

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1941.1001	APPLICATION NO.
INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Toshiaki NAGASAWA et al.	
		FILING DATE April 21, 2006	GROUP/ART UNIT

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION YES NO	ABSTRACT
	AG	JP-2000-143611	05/2000	Japan	X X
	AH	JP-2002-283744	10/2002	Japan	X X
	AI	JP-1053892	03/1989	Japan	X X
	AJ	JP-6072026	03/1994	Japan	X X
	AK	WO-0014058	03/2000	Japan	X X
	AL				

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)				TRANSLATION YES NO	
	AM				

EXAMINER /Richard Schilling/	DATE CONSIDERED 03/20/2008
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Working Atty: MJH Mark J. Henry
Originating Atty: MJH Mark J. Henry
Managing Atty: MJH Mark J. Henry
Client: 1941 ASAMURA PATENT OFFICE
Matter: 1001 NAGASAWA, Toshiaki et al.
Billing Date: 06/05/2006
Fees Thru Date: 06/06/2006
Costs Thru Date: 06/05/2006
CD 7.5 CD Disc. 0

1941 ASAMURA PATENT OFFICE
1001 NAGASAWA, Toshiaki et al.

ASAMURA PATENT OFFICE
331 New Ohtemachi Bldg.
2-1 Ohtemachi 2-chome
Chiyoda-Ku Tokyo 100-0004
JAPAN

Re: U.S. Patent Application
Serial No.: 10/576,802
For: DISPERSION COMPOSITION AND RECORDING MATERIAL
Inventor(s): Toshiaki NAGASAWA et al.
Your Ref: W3007-01CB
Our Docket: 1941.1001

AR Balance: 0
Current 30+ 60+ 90+
0 0 0 0

Billings to Date
Fees 0.00
Expenses 0.00
Total 0.00

Last Bill Date
Last Bill Amount 0.00

Billing Instructions 0

Why wasn't this billed? Is it a bill in progress. Please check.

Date	Code	Services	Atty	Hours	FF	Amount	Bill Amt.
04/14/06		letter on preparing new application	DMP	0.20		86.00	
04/21/06	PB1	reviewing, docketing and filing application with formal drawings, and preliminary amendment, reporting and subsequent forwarding of Official Filing Receipt and recorded Assignment papers	MJH	0.00	FF	532.50	
04/21/06	PB1	reviewing, docketing and filing application with formal drawings, and preliminary amendment, reporting and subsequent forwarding of Official Filing Receipt and recorded Assignment papers	S&H	0.00	FF	182.50	
06/05/06	PC3	preparing and filing Information Disclosure Statement with Form PTO-1449, and reporting	MJH	0.00	FF	212.50	
06/05/06	PC3	preparing and filing Information Disclosure Statement with Form PTO-1449, and reporting	S&H	0.00	FF	67.50	

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Summary of FEES by Attorney Code

Atty Code	Attorney Name	Hours	Rate	Amount
MJH	Mark J. Henry	0.00	0.00	745.00
DMP	David M. Pitcher	0.20	430.00	86.00
S&H	S&H	0.00	0.00	250.00
Total for Services		0.20		1,081.00

Billable time	0.20	1,081.00
Non-billable time	0.00	0.00
Suppressed time	0.00	0.00

Date	Expenses	Cash Adv Ref #	Event	Expense Code	Vendor	Units	Amount	Bill Amt
04/21/06	Patent Office Fees for Filing Application	A 41950	GO1894	101	Commissioner of Patents and Tr	0	1,000.00	
04/21/06	Patent Office Fees for Extra Sheets	A 41950	GO1895	1081	Commissioner of Patents and Tr	0	500.00	
04/21/06	Patent Office Fees for Assignment Recordation	A 41950	GO1896	581	Commissioner of Patents and Tr	0	40.00	
Total Expenses							1,540.00	
Advanced Expenses							1,540.00	
Non-cash expenses							0.00	

Client: 1941 - ASAMURA PATENT OFFICE
Matter: 1001 - NAGASAWA, Toshiaki et al.

Credit / Pre-paid balance \$0.00

Credit / Pre-paid applied _____

Trust balance \$0.00

Trust applied _____

Courtesy Discount on Fees _____

Bill as is ()

Bill as marked ()

Bill fees only ()

Bill costs only ()

Hold until next month ()